



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Apparatus and Method for Unilaterally Loading a Secure Operating System With a Multiprocessor Environment
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Application Number: 10/043843



Confirmation Number: 1141

First Named Applicant: Michael Kozuch

Attorney Docket Number: 42P11931

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APR 13 2004

Art Unit: 2132

Examiner: Gilberto Barron

Search string: (3996449 or 4430709 or 4802084 or 4975836
or 5187802 or 5230069 or 5295251 or 5361375
or 5469557 or 5506975 or 5555385 or 5555414
or 5574936 or 5956408 or 5978475 or 6044478
or 6088262 or 6175924 or 6188257 or
6275933).pn.

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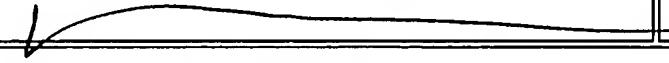
US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	3996449	1976-12-07	Attanasio, Clement R., et al.				
2	4430709	1984-02-07	Schleupen, Richard , et al.				
3	4802084	1989-01-31	Ikegaya, Hiroshi , et al.				
4	4975836	1990-12-04	Hirosawa, Toshio , et al.				
5	5187802	1993-02-16	Inoue, Taro , et al.				
6	5230069	1993-02-16	Brelsford, David P., et al.				
7	5295251	1994-03-15	Wakui, Fujio , et al.				
8	5361375	1994-11-01	Ogi, Yoshifumi				
9	5469557	1995-11-21	Salt, Tom , et al.				
10	5506975	1996-04-09	Onodera, Osama				
11	5555385	1996-09-10	Osisek, Damian L.				
12	5555414	1996-09-10	Hough, Roger E., et al.				
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17	6088262	2000-07-11	Nasu, Hiroaki
18	6175924	2001-01-16	Arnold, Todd W.
19	6188257	2001-02-13	Buer, Mark L.
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Examiner Name	Date
	26 Sep 05

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Electronic Information Disclosure Statement



AN APPARATUS AND METHOD FOR UNILATERALLY LOADING A SECURE OPERATING SYSTEM WITHIN A MULTIPROCESSOR ENVIRONMENT

Application:



10/043843

Confirmation: 1141

Applicant(s): Michael Kozuch

Docket Number: 042390P11931

Group Art

Unit:

Examiner: Unassigned

(4,278,837 or 4,307,214 or 4,366,537 or 4,521,852 or 5,075,842 or 5,022,077 or 5,255,379 or
 5,293,424 or 5,421,006 or 5,459,869 or 5,473,692 or 5,479,509 or 5,568,552 or 5,615,263 or
 search string: 5,657,445 or 5,717,903 or 5,729,760 or 5,764,969 or 5,796,845 or 5,805,712 or 5,835,594 or
 5,844,986 or 5,890,189 or 5,937,063 or 5,978,481 or 5,987,557 or 6,014,745 or 6,058,478 or
 6,158,546 or 6,175,925 or 6,178,509 or 6,205,550 or 6,282,651 or 6,282,657 or 20010037450).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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			1977-07-			X	X

P02	4,307,214	19		Birney, et al.
P03	4,366,537	1982-12-28		Heller, et al.
P04	4,521,852	1985-06-04		Guttag
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P07	5,255,379	1993-10-19		Melo
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P14	5,615,263	1997-03-25		Takahashi
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P22	5,844,986	1998-12-01	[REDACTED]	Davis
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P24	5,937,063	1999-08-10	[REDACTED]	Davis
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P32	6,205,550	2001-03-20	[REDACTED]	Nardone, et al.
P33	6,282,651	2001-08-28	[REDACTED]	Ashe
P34	6,282,657	2001-08-28	[REDACTED]	Kaplan, et al.

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
U01	20010037450	2001-11-01	[REDACTED]	[REDACTED]	Metlitski, et al.		

Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. * Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. * This Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. * Applicants respectfully requests that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record. *

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	26 Sep 05

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small>		<small>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.</small> Complete if Known Application Number 10/043843 Filing Date November 1, 2001 First Named Inventor Kozuch, Michael Group Art Unit 2132 Examiner Name Barron, Gilberto	
		RECEIVED <small>FEB 12 2004</small> <small>Technology Center 2100</small>	
<small>Sheet 1 of 2</small>		Attorney Docket No: 42P11931	

US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

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	WO-0175565	10/11/2001	Ellison, Carl M.			

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T*
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EXAMINER *[Signature]* DATE CONSIDERED *26 Sep 05*

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

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Complete if Known
Application Number
 10/043843

Filing Date
 November 1, 2001

First Named Inventor
 Kozuch, Michael

Group Art Unit
 2132

Examiner Name
 Barron, Gilberto

Sheet 2 of 2

Attorney Docket No: 42P11931

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

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26 Sep 05



<p>Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i></p>				<p><i>Complete if Known</i></p>	
				Application Number	10/043,843
				Filing Date	November 1, 2001
				First Named Inventor	Michael A. Kozuch
				Art Unit	2132
				Examiner Name	Gilberto Barron
				Attorney Docket Number	42390P11931
Sheet	1	of	2		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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¹Applicant's unique citation designation number (optional) ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04 ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbol/s as indicated on the document under WIPO Standard ST 16 if possible. ⁶Applicant is to place a check mark here if Eng'ish language Translation is attached.

Based on PTO/SB/03A (08-03) as modified by Blackley, Sackoff, Taylor & Zetman (w/j) C8/11/2003

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p><i>Complete if Known</i></p>	
Sheet	2	of	2	Application Number	10/043,843
				Filing Date	November 1, 2001
				First Named Inventor	Michael A. Kozuch
				Art Unit	2132
				Examiner Name	Gilberto Barron
				Attorney Docket Number	42390P11931

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<i>JF</i>		Co-Pending CT Application No. PCT/US 02/34487, Int'l Search Report, mailed December 13, 2004	

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Sheet 1 of 1.			

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				

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		Complete if Known	
		Application Number	10/043,843
		Filing Date	November 1, 2001
		First Named Inventor	Kozuch, Michael
		Group Art Unit	2132
		Examiner Name	Barron, Gilberto
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Sheet 1 of 2		Attorney Docket No: 42P11931 JUL 1 9 2004	

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US PATENT DOCUMENTS

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Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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✓P	EP-EP1055989	11/29/2000	Proudler, Graeme J., et al.			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
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Application Number	10/043,843
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Sheet 2 of 2

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Substitute Disclosure Statement Form (PTO-1449)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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<i>D</i>		Partial International Search Report for co-pending PCT Appl. No. PCT/US 02/34487, mailed 07/15/2004	

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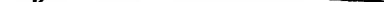
Based on PTO/SB/08B (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 08/11/2003.
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<p>Substitute for form 1449A/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i></p>				Complete if Known	
Sheet	1	of	1	Application Number	10/043,843
				Filing Date	November 1, 2001
				First Named Inventor	Michael A. Kozuch
				Art Unit	2132
				Examiner Name	Gilberto Barron
				Attorney Docket Number	42390P11931

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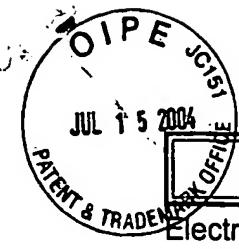
Examiner Signature		Date Considered	26 Sep 06
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Apparatus and Method for Unilaterally Loading a Secure Operating System With a Multiprocessor Environment						
Application Number:	10/043843						
Confirmation Number:	1141						
First Named Applicant:	Michael Kozuch						
Attorney Docket Number:	42P11931						
Art Unit:	2132						
Examiner:	Gilberto Barron						
Search string:	(20010027511 or 20020007456 or 20020023032 or 20020147916 or 20020166061 or 20020169717 or 20030074548 or 20030115453 or 20030126442 or 20030126453 or 20030159056 or 20030188179 or 20030196085 or 20040117539 or 3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6327652 or 6397379 or 6529909 or 6560627 or 6609199 or 6615278 or 6651171 or 6678825 or 6684326).pn.						
RECEIVED JUL 20 2004 Technology Center 2100							
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/	1	20010027511	2001-10-04	Wakabayashi, Masaki , et al.			
/	2	20020007456	2002-01-17	Peinado, Marcus , et al.			
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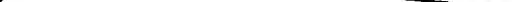
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Signature

Examiner Name	Date
	26 Sep 05

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Exam. Initial*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
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Examiner
Signature  Date Considered
26 Sept

Substitute for Form 1449A/PTO (Modified)				Complete if Known	
				Application Number	10/043,843
				Filing Date	November 1, 2001
				First Named Inventor:	Michael A. Kozuch
				Group Art Unit	2151
				Examiner Name	To Be Determined
Sheet	2	of	2	Attorney Docket Number	42390.P11931
OTHER ART - NO PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
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		GOLDBERG, R., "Survey of virtual machine research," IEEE Computer Magazine 7(6), pp. 34-45, 1974.			
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<i>HP</i>		LAWTON, K., "Running Multiple Operating Systems Concurrently on an IA32 PC Using Virtualization Techniques," http://www.plex86.org/research/paper.txt ; 11/29/99; pp. 1-31.			

Examiner Signature	<i>✓</i>	Date Considered	26 Sep 03
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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FOREIGN PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵			
B		WO 02 17555	A	Verisign, Inc.	02/28/2002		
B		WO 01 75564	A	Intel Corporation	10/11/2001		
B		WO 02 086684	A	Hewlett Packard	10/31/2002		
B		JP02000076139	A	Nippon	03/14/2000		

Examiner Signature  Date Considered 265-0005

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Commissioner for Patents, Washington, DC 20231.

Examiner Signature		Date Considered	26 SEP 05
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

3

Complete If Known

Application Number	10/043,843
Filing Date	November 1, 2001
First Named Inventor	Michael A. Kozuch
Art Unit	2151
Examiner Name	To Be Determined
Attorney Docket Number	42390P11931

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
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		EP 1030237 A1	08-23-2000	Hewlett-Packard Company		X

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Date Considered

26 Sep 05

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<p>Substitute for form 1449A/PTO</p> <h1 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				Complete if Known	
Sheet	2	of	3	Application Number	10/043,843
				Filing Date	November 1, 2001
				First Named Inventor	Michael A. Kozuch
				Art Unit	2151
				Examiner Name	To Be Determined
				Attorney Docket Number	42390P11931

U.S. PATENT DOCUMENTS

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**Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.*

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Sheet	3	of	3	Application Number	10/043,843
				Filing Date	November 1, 2001
				First Named Inventor	Michael A. Kozuch
				Art Unit	2151
				Examiner Name	To Be Determined
				Attorney Docket Number	42390P11931

OTHER ART - NON PATENT LITERATURE DOCUMENTS

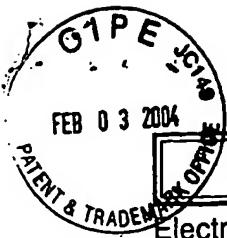
**Examiner
Signature**

Date Considered

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Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Apparatus and Method for Unilaterally Loading a Secure Operating System With a Multiprocessor Environment						
Application Number:	10/043843						
Confirmation Number:	1141						
First Named Applicant:	Michael Kozuch						
Attorney Docket Number:	42P11931						
Art Unit:	2132						
Examiner:	Gilberto Barron						
Search string:	(20030018892 or 4037214 or 4162536 or 4276594 or 4319323 or 4759064 or 4795893 or 4825052 or 4907270 or 4907272 or 4910774 or 5007082 or 5139760 or 5317705 or 5434999 or 5442645 or 5459867 or 5504922 or 5528231 or 5533126 or 5564040 or 5566323 or 5606617 or 5720609 or 5721222 or 5796835 or 5825875 or 5867577 or 5900606 or 5901225 or 5903752 or 5970147 or 6061794 or 6092095 or 6115816 or 6173417 or 6212635 or 6222923 or 6252650 or 6269392 or 6282650 or 6308270 or 6330670 or 6357004 or 6363485 or 6374286 or 6378068 or 6378072 or 6389537 or 6412035 or 6421702 or 6435416 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or 6633981).pn.						
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US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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58	6557104	2003-04-29	Vu, Son T., et al.
59	6633963	2003-10-14	Ellison, Carl M., et al.
60	6633981	2003-10-14	Davis, Derek L.

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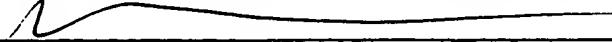
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Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

26 Sep 05

Examiner Name	Date
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